



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR**

Applicant or Patentee: Ronald S. Maynard

Application or Patent No.: 09/079,703

Filed or Issued: May 15, 1998

For: THIN-FILM SHAPE MEMORY ALLOY ACTUATORS AND PROCESSING METHODS

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

THIN-FILM SHAPE MEMORY ALLOY ACTUATORS AND PROCESSING METHODS

described in

☐ the specification filed herewith

☒ Application No. 09/079,703, filed May 15, 1998

☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be likewise classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

☒ No such person, concern or organization.

☐ Persons, concerns or organizations listed below:

Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, or any patent issuing thereon.

Date: 8/21/98

Signature: Ronald S. Maynard

Typed Name of Inventor: Ronald S. Maynard

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

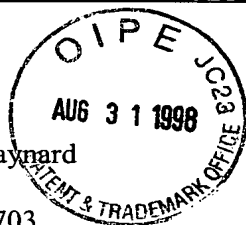
In re Application

Inventor(s): Ronald S. Maynard

Application No.: 09/079,703

Filed: May 15, 1998

Title: THIN-FILM SHAPE MEMORY ALLOY
ACTUATORS AND PROCESSING METHODS



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DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THIN-FILM SHAPE MEMORY ALLOY ACTUATORS AND PROCESSING METHODS

the specification of which (check applicable ones):

___ is attached hereto;

X was filed with the above-identified "Filed" date and "SC/Serial No."

___ was amended on (or amended through) .

___ The present application is a continuation-in-part of Prior Application, Application No. _____, filed: _____ and may be considered to disclose and claim subject matter in addition to that disclosed in the Prior Application, and I hereby claim the benefit of 35 U.S.C. Section 120.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56, including information which became available between the filing date of the Prior Application and the national or PCT international filing date of the present application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole
or first inventor: _____

Ronald S. Maynard

(1) Residence: _____

316 Gardenia Dr.

San Jose, CA 95123

(1) Post Office Address: _____

same

(1) Citizenship: _____

U.S.

(1) Inventor's signature: _____

Ronald Maynard

(1) Date: _____

7/28/98